Noise-free scattering of the quantized electromagnetic field from a dispersive linear dielectric

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We study the scattering of the quantized electromagnetic field from a linear, dispersive dielectric using the scattering formalism for quantum fields. The medium is modeled as a collection of harmonic oscillators with a number of distinct resonance frequencies. This model corresponds to the Sellmeir expansion, which is widely used to describe experimental data for real dispersive media. The integral equation for the interpolating field in terms of the *in* field is solved and the solution used to find the *out* field. The relation between the *in* and *out* creation and annihilation operators is found that allows one to calculate the S matrix for this system. In this model, we find that there are absorption bands, but the input-output relations are completely unitary. No additional quantum-noise terms are required.

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I. INTRODUCTION

A fundamental problem in quantum optics is how the properties of light change as it propagates through a medium. If the medium is nonlinear, new frequencies can be produced and the quantum-noise properties of the field can be altered. This leads to such interesting phenomena as solitons, squeezing, and quantum-phase diffusion, all of which have been observed [1]. If the medium is linear, the situation is not as dramatic, but linear media serve as a first step in the description of their nonlinear brethren, and they present problems in their own right, such as the inclusion of dispersion. It is generally thought that an accurate, first-principles treatment of dispersion necessitates the inclusion of absorption, and consequently additional noise or reservoir operators. Here we analyze a quantum field theoretic model that demonstrates dispersion-induced absorption, but without any additional noise operators appearing in the scattering relations.

Dielectric media can be described in a number of different ways. They can be characterized by their susceptibilities, a procedure that, when fields are included, leads to the macroscopic Maxwell equations. Consequently, we shall call this the macroscopic approach. It leads to difficulties when one wants to include dispersion in a Lagrangian or Hamiltonian formulation, because dispersion is a consequence of the fact that the response of the medium is not instantaneous, but depends on the values of the field over a range of times [2]. For certain kinds of fields, in particular narrow-band ones, these problems can be overcome by using an approximate Lagrangian that is local in time [3]. Another approach is to construct a microscopic model for the medium and to include the degrees of freedom of the medium in the theory [4–8]. This, for obvious reasons, we shall call the microscopic approach. It has the advantage that the inclusion of dispersion is not a problem, but the disadvantage is that for each new medium, a new model must be constructed. There are also intermediate approaches that use frequency-dependent susceptibilities, but also add quantum noise operators to the equations of motion for the fields [9,11].

Most of the work on quantized fields in media has concentrated on what happens inside the medium. However, there has been a steady stream of research that has considered fields entering and leaving a medium as well. This is essential if one wants to describe real experiments, in which the fields are generated outside the medium, then pass through it, and are finally measured in free space.

Perhaps the first to investigate this question were Lang *et al.*, who examined the connection between the field inside and outside a laser cavity while studying why the laser linewidth is so narrow [12,13]. Their model consisted of a cavity bounded on one end by a perfectly reflecting wall and on the other by a thin dielectric slab, and the cavity itself is filled with an active medium. This cavity is embedded in a larger cavity that represents the universe. They showed how the modes of the universe are related to the cavity quasimodes, which makes it possible to find the output field in terms of the cavity field. In their analysis the field was classical, but soon thereafter the quantum version of their model was constructed and used to investigate the relation between the field inside and outside the cavity for a laser in the linear regime by Ujihara [14]. This approach was later used by Gea-Banacloche *et al.* to study the relationship between the squeezing generated inside a cavity to that outside the cavity [15].

This last problem had first been considered by Yurke who based his approach on an earlier paper by Denker and himself [16]. They developed a quantum theory of electronic networks in which the network itself is located at $x=0$ and transmission lines extending from there to $x=+\infty$ bring input signals to the network and carry output signals away. Fields propagating toward $x=0$ are input fields and those propagating away are output fields, and the object is to find the output fields in terms of the input ones for a given network. A related input-output theory was developed by Collett and Gardiner [17] and was put on a firmer footing by Carmichael [18]. This theory considers a cavity containing a medium, active or passive, linear or nonlinear, which is coupled to a reservoir. The reservoir operators serve as the

input and output fields. The dynamics of the system inside the cavity is described by a master equation, and its solution is used to find the time-dependent reservoir operators and, thereby, the output field.

More recently a number of groups have examined the scattering of the quantized electromagnetic field from inhomogeneous linear dielectrics. Glauber and Lewenstein considered the case of a nondispersive, lossless dielectric which is described by a real position-dependent susceptibility [19]. The scattering from dispersive media was studied by Knöll and Leonhardt who considered a medium consisting of damped harmonic oscillators [6]. Rather than use a formal scattering approach they used time-dependent Green's functions to solve the field equations. This built on earlier work by Fleischhauer and Schubert, who considered the relation between source correlation functions and field correlation functions after the field had propagated from the source through a linear passive system [20]. A different treatment of dispersive media was given by Matloob, *et al.* [9]. They considered an arbitrary complex, frequency-dependent dielectric function and quantized the theory at the level of the equations of motion rather than starting with a Lagrangian. Working in frequency space they found the fields emerging from a dielectric slab in terms of those entering it. A similar analysis was carried out by Gruner and Welsch [11]. A final approach is based on polaritons in finite media [21–23]. For an infinite dielectric interacting with the electromagnetic field the eigenstates of the Hamiltonian are mixed matter-field modes known as polaritons. If the medium is finite, the polaritons acquire a finite lifetime. By looking at the the electromagnetic parts of the polariton modes, scattering of the field from the medium can be described.

In this paper we shall apply the quantum scattering formalism for fields to describe an electromagnetic wave scattering from a finite medium, which behaves as a mirror or beam splitter. The medium is treated microscopically, and it is dispersive. The final result is an explicit expression for the out operators in terms of those of the input field. The calculation starts from first principles, and, consequently, shows how some of the relations between in and out operators, which are often used in quantum optics, follow from an underlying scattering theory. An important feature of the model used here is that it includes a dielectric medium with multiple bare resonances, leading to a number of discrete absorption bands. This is typical of real dielectric materials, and leads to a dielectric constant that can be modeled with the widely used Sellmeir [10] expansion in frequency.

As we noted in our discussion of previous results, there are three treatments of scattering from a dispersive, linear dielectric. Two are to some extent phenomenological in that they do not start from a Hamiltonian describing the field-medium system [9,11]. The third approach treats time-dependent fields rather than finding the asymptotic in and out fields that are the basic objects in a scattering treatment [6]. We believe that this leaves room for a more fundamental approach that can place the theory on a firmer foundation. The results we find from field-theoretic scattering theory are similar to those found in the approach pioneered by Yurke, and have the virtue that they are simple and intuitively clear. By employing a fundamental approach, we have the advantage that the meaning of all of the operators that we employ is well defined, which is not always the case in the more phenomenological treatments. The results presented here can be viewed as a justification of earlier phenomenological theories.

II. MODEL

We shall consider a one-dimensional model of the electromagnetic field and the medium that was developed in Ref. [8]. This model can be used to describe the normal incidence of an electromagnetic wave on a medium, where the wave travels in the x direction and is polarized in the z direction.

The field can be represented by means of the dual potential, $\Lambda(x,t)$, which is appropriate if there are no free charges. In the case of a z-polarized normally incident plane wave, $\Lambda(x,t)$ is the y component of the dual potential. The fields are given by

$$D=\frac{\partial\Lambda}{\partial x}, \quad B=\mu_0\frac{\partial\Lambda}{\partial t}. \tag{2.1}$$

The medium consists of dipoles that are harmonic oscillators with masses m_ν and bare frequencies Ω_ν, where $\nu=1,\ldots,N$. The unrenormalized oscillator frequencies can be chosen to correspond to transition frequencies of atoms or molecules making up an actual material. Each oscillator is described by a field, $r_\nu(x)$, which gives the displacement of the oscillator at position x and with frequency Ω_ν. It is convenient to represent the oscillators in terms of the polarization fields

$$p_\nu(x)=q_\nu\rho_\nu(x)r_\nu(x), \tag{2.2}$$

where $\rho_\nu(x)$ is the density of oscillators with frequency Ω_ν, and the dipole corresponding to oscillators of type ν consists of charges q_ν. We shall work in the multipolar gauge so that the coupling between the electromagnetic field and the medium is proportional to $\Sigma_\nu p_\nu(x)D(x)$. The medium self-interaction terms proportional to the square of the total polarization are incorporated into the frequencies, Ω_ν.

For a volume such as a waveguide of cross-sectional area A, the Lagrangian density for the medium-field system is given by

$$\mathcal{L}=\frac{A}{2\epsilon_0}\left\{\frac{1}{c^2}\dot{\Lambda}^2(x)-(\partial_x\Lambda(x))^2+\sum_\nu\left[\frac{1}{g_\nu(x)}[\dot{p}_\nu^2(x)-\Omega_\nu^2p_\nu^2(x)]+2p_\nu(x)\partial_x\Lambda(x)\right]\right\}, \tag{2.3}$$

where

$$g_\nu(x)=\frac{q_\nu^2\rho_\nu(x)}{m_\nu\epsilon_0}. \tag{2.4}$$

A. Refractive index

From the Lagrangian density we find the equations of motion for the fields

$$\partial_t^2\Lambda - c^2\partial_x^2\Lambda = -c^2\partial_x\sum_\nu p_\nu,$$
$$\partial_t^2 p_\nu + \Omega_\nu^2 p_\nu = g_\nu\partial_x\Lambda. \tag{2.5}$$

For a medium of constant density [i.e., $g_\nu(x)$ is independent of x], we can solve the above equations by assuming that both p_ν and Λ are proportional to $e^{i(kx-\omega t)}$. The values of ω are the frequencies of the modes of the system and are given by the solutions of Eq. (2.5):

$$\omega^2 = (kc)^2\left[1-\sum_\nu \frac{g_\nu}{\Omega_\nu^2-\omega^2}\right]. \tag{2.6}$$

Defining the index of refraction, $n(\omega)$, to be kc/ω, we find

$$n(\omega) = \left[1-\sum_\nu \frac{g_\nu}{\Omega_\nu^2-\omega^2}\right]^{-1/2}. \tag{2.7}$$

This is very similar [8] to the classical Sellmeir expansion for the refractive index. Note that this expansion is not identical to the Sellmeir expansion, but can be converted into the commonly used Sellmeir form through a renormalization of the bare resonant frequencies of the oscillators. The characteristic property of this type of equation is that it possesses solutions for the refractive index that are either purely real (transmission bands) or purely imaginary (absorption bands). At the bare resonance frequency, the refractive index is zero. Near a resonance, where $\omega\to\Omega_\nu$, the refractive index is real for $\omega>\Omega_\nu$, and imaginary for $\omega<\Omega_\nu$. At a finite detuning below a resonance, the refractive index goes to infinity just below the start of the corresponding absorption band.

B. Lagrangian quantization

From the Lagrangian density we can find the canonical momenta corresponding to Λ and p_ν, which we shall denote by Π and π_ν, respectively. These are given by

$$\Pi(x) = \mu_0\dot{\Lambda}(x), \quad \pi_\nu(x) = \frac{\dot{p}_\nu(x)}{\epsilon_0 g_\nu(x)}. \tag{2.8}$$

The theory is quantized by imposing the commutation relations

$$[\hat{\Lambda}(x,t),\hat{\Pi}(x',t)] = i\hbar\,\delta(x-x')/A \tag{2.9}$$

and

$$[\hat{p}_\nu(x,t),\hat{\pi}_{\nu'}(x',t)] = i\hbar\,\delta_{\nu,\nu'}\delta(x-x')/A. \tag{2.10}$$

The canonical momenta and the Lagrangian density can now be used to find the Hamiltonian density for the quantized theory

$$\mathcal{H}(x) = \frac{A}{2\epsilon_0}:\left\{\frac{\epsilon_0}{\mu_0}\hat{\Pi}^2(x)+[\partial_x\hat{\Lambda}(x)]^2+\sum_\nu\left[\epsilon_0^2 g_\nu(x)\hat{\pi}_\nu^2(x) + \frac{\Omega_\nu^2}{g_\nu(x)}\hat{p}_\nu^2(x) - 2\hat{p}_\nu(x)\partial_x\hat{\Lambda}(x)\right]\right\}: . \tag{2.11}$$

This can be put in a different form if we define annihilation and creation operators, $\hat{\xi}_\nu(x)$ and $\hat{\xi}_\nu^\dagger(x)$, for the oscillators, where

$$\hat{\xi}_\nu(x) = \frac{1}{\sqrt{2\hbar}}\left(\sqrt{\frac{\Omega_\nu}{\epsilon_0 g_\nu(x)}}\hat{p}_\nu + i\sqrt{\frac{\epsilon_0 g_\nu(x)}{\Omega_\nu}}\hat{\pi}_\nu\right), \tag{2.12}$$

so that

$$[\hat{\xi}_\nu(x),\hat{\xi}_{\nu'}^\dagger(x')] = \delta_{\nu,\nu'}\delta(x-x')/A. \tag{2.13}$$

We finally have for the Hamiltonian density

$$\mathcal{H}(x) = \frac{A}{2\epsilon_0}:\left\{\frac{\epsilon_0}{\mu_0}\hat{\Pi}^2(x)+[\partial_x\hat{\Lambda}(x)]^2 + \sum_\nu\left[2\epsilon_0\hbar\Omega_\nu\hat{\xi}_\nu^\dagger(x)\hat{\xi}_\nu(x) - 2\sqrt{\frac{\hbar\epsilon_0 g_\nu(x)}{2\Omega_\nu}}[\hat{\xi}_\nu(x)+\hat{\xi}_\nu^\dagger(x)]\partial_x\hat{\Lambda}(x)\right]\right\}: . \tag{2.14}$$

III. SCATTERING THEORY

In order to determine what happens when an electromagnetic wave scatters off the medium, we shall apply the standard formulation of scattering for quantum fields [24]. This is done in the Heisenberg picture so that it is the field operators that are time dependent. Because we shall consider a medium that is bounded in the x direction, the interaction is bounded in time. This can be seen either by considering the incoming waves to be wave packets, so that the interaction takes place only while the packet is inside the medium, or by using plane waves and turning the interaction on and off adiabatically. In either approach, the fields will go to free fields both as $t\to-\infty$ and as $t\to\infty$. The free fields as $t\to-\infty$ are the in fields, and those as $t\to\infty$ are the out fields. The time-dependent field operators that carry the full time-dependence of the Hamiltonian, including the interaction, are also known as the interpolating fields, because they interpolate between the in and the out fields. Our goal is to use the interpolating fields to find an expression for the out fields in terms of the in fields. This will give us a complete description of the scattering process. We note here that a related Heisenberg-picture approach to quantum scattering theory relevant to quantum optics measurements was recently developed by Dalton *et al.* [25]. They developed a similar basic formalism, but did not consider specific examples.

A. *In* and *out* fields

To find the relationship between the in and out fields, we need to express the equations of motion of the interpolating fields as integral equations. From the Hamiltonian for our model we find

$$(\partial_t^2 - c^2\partial_x^2)\hat{\Lambda} = -c^2\partial_x \sum_\nu \sqrt{\frac{\hbar\epsilon_0 g_\nu}{2\Omega_\nu}}[\hat{\xi}_\nu + \hat{\xi}_\nu^\dagger],$$
$$(\partial_t + i\Omega_\nu)\hat{\xi}_\nu = \frac{i}{\epsilon_0\hbar}\sqrt{\frac{\hbar\epsilon_0 g_\nu}{2\Omega_\nu}}\partial_x\hat{\Lambda}. \tag{3.1}$$

In order to express these as integral equations we define the Green's functions $\Delta^{(ret)}(x,t)$, $\Delta^{(adv)}(x,t)$, $\Gamma_\nu^{(ret)}(x,t)$, and $\Gamma_\nu^{(adv)}(x,t)$. They satisfy the equations

$$(\partial_t^2 - c^2\partial_x^2)\Delta^{(ret)}(x,t) = \delta(x)\,\delta(t),$$
$$(\partial_t + i\Omega_\nu)\Gamma_\nu^{(ret)}(x,t) = \delta(x)\,\delta(t),$$
$$(\partial_t^2 - c^2\partial_x^2)\Delta^{(adv)}(x,t) = \delta(x)\,\delta(t),$$
$$(\partial_t + i\Omega_\nu)\Gamma_\nu^{(ret)}(x,t) = \delta(x)\,\delta(t), \tag{3.2}$$

and the boundary conditions

$$\Delta^{(ret)}(x,t) = \Gamma_\nu^{(ret)}(x,t) = 0 \quad \text{for } t<0,$$
$$\Delta^{(adv)}(x,t) = \Gamma_\nu^{(adv)}(x,t) = 0 \quad \text{for } t>0. \tag{3.3}$$

The retarded Green's functions can be expressed as

$$\Delta^{(ret)}(x,t) = \frac{1}{(2\pi)^2}\int dk\,d\omega \frac{e^{i(kx-\omega t)}}{(ck)^2 - (\omega + i\epsilon)^2},$$
$$\Gamma_\nu^{(ret)}(x,t) = \frac{\delta(x)}{2\pi}\int d\omega \frac{e^{-i\omega t}}{i(\Omega - i\epsilon - \omega)}, \tag{3.4}$$

where $\epsilon \to 0^+$, and the advanced Green's functions are given by almost identical expressions, the only difference being that ϵ is replaced by $-\epsilon$. The integral equations corresponding to the differential equations, Eqs. (3.1), are

$$\hat{\Lambda}(x,t) = \hat{\Lambda}_{in}(x,t) - c^2\int dx' \int dt' \Delta^{(ret)}(x-x',t-t')\partial_{x'} \times \sum_\nu \sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}[\hat{\xi}_\nu(x',t) + \hat{\xi}_\nu^\dagger(x',t)],$$
$$\hat{\xi}_\nu(x,t) = \hat{\xi}_\nu^{(in)}(x,t) + \frac{i}{\epsilon_0\hbar}\int dx' \int dt' \Gamma^{(ret)} \times (x-x',t-t')\sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}\partial_{x'}\hat{\Lambda}(x',t'). \tag{3.5}$$

The corresponding expression involving the outfields is

$$\hat{\Lambda}(x,t) = \hat{\Lambda}_{out}(x,t) - c^2\int dx' \int dt' \Delta^{(adv)} \times (x-x',t-t')\partial_{x'}\sum_\nu \sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}} \times [\hat{\xi}_\nu(x',t) + \hat{\xi}_\nu^\dagger(x',t)],$$
$$\hat{\xi}_\nu(x,t) = \hat{\xi}_\nu^{(out)}(x,t) + \frac{i}{\epsilon_0\hbar}\int dx' \int dt' \Gamma^{(adv)} \times (x-x',t-t')\sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}\partial_{x'}\hat{\Lambda}(x',t'). \tag{3.6}$$

Note that the integral equations incorporate the boundary conditions for the fields. The first set of equations implies that $\hat{\Lambda}(x,t)$ and $\hat{\xi}_\nu(x,t)$ will go to $\hat{\Lambda}_{in}(x,t)$ and $\hat{\xi}_\nu^{(in)}(x,t)$, respectively, as $t \to -\infty$, and the second set implies that they will go to $\hat{\Lambda}_{out}(x,t)$ and $\hat{\xi}_\nu^{(out)}(x,t)$, respectively, as $t \to \infty$.

What we shall do is solve the first set of equations for $\hat{\Lambda}(x,t)$ and $\hat{\xi}_\nu(x,t)$ in terms of the in fields, and then insert this solution into the second set to find the out fields in terms of the in fields.

B. Fourier decomposition

We begin solving Eqs. (3.5) by taking the time Fourier transform of both sides. Defining

$$\hat{\Lambda}(x,\omega) = \frac{1}{\sqrt{2\pi}}\int dt\; e^{i\omega t}\hat{\Lambda}(x,t),$$
$$\hat{\xi}_\nu(x,\omega) = \frac{1}{\sqrt{2\pi}}\int dt\; e^{i\omega t}\hat{\xi}_\nu(x,t), \tag{3.7}$$

and similarly for the in and out fields, we find that

$$\hat{\Lambda}(x,\omega) = \hat{\Lambda}_{in}(x,\omega) - \frac{ic}{2\omega}\int dx' e^{i\omega|x-x'|/c}\partial_{x'} \times \sum_\nu \sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}[\hat{\xi}_\nu(x',\omega) + \hat{\xi}_\nu^\dagger(x',-\omega)], \tag{3.8}$$

and

$$\hat{\xi}_\nu(x,\omega) = \hat{\xi}_\nu^{(in)}(x,\omega) + \frac{1}{\epsilon_0\hbar}\sqrt{\frac{\hbar\epsilon_0 g_\nu(x)}{2\Omega_\nu}}\frac{1}{\Omega_\nu - i\epsilon - \omega}\partial_x\hat{\Lambda}(x,\omega). \tag{3.9}$$

In deriving these equations we made use of the fact that

$$\int dt\; e^{i\omega t}\Delta^{(ret)}(x,t) = \frac{i}{2\omega c}e^{i\omega|x|/c}. \tag{3.10}$$

We can derive an equation for only the field $\hat{\Lambda}(x,k_0)$ by substituting from Eq. (3.9) into Eq. (3.8). We find that

$$\hat{\Lambda}(x,\omega)=\hat{\Lambda}_{in}(x,\omega)-\frac{ic}{2\omega}\int dx' e^{i\omega|x-x'|/c}\partial_{x'} \times\sum_{\nu}\sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}\Bigg[\hat{\xi}_\nu^{(in)}(x',\omega)+\hat{\xi}_\nu^{(in)\dagger}(x',-\omega) +\frac{1}{\epsilon_0\hbar}\sqrt{\frac{\hbar\epsilon_0 g_\nu(x')}{2\Omega_\nu}}\frac{2\Omega_\nu}{\Omega_\nu^2-(\omega+i\epsilon)^2}\partial_{x'}\hat{\Lambda}(x',\omega)\Bigg]. \tag{3.11}$$

Our next step is to turn this into a differential equation, but before doing so we shall make a simplifying assumption. The field $\hat{\xi}_\nu^{(in)}(x,t)$ is a free field that oscillates at the frequency Ω_ν, and this implies that $\hat{\xi}_\nu^{(in)}(x,\omega)$ is nonzero only when $\omega=\Omega_\nu$. We are mainly interested in cases where the incoming light is not resonant with the medium, so we shall initially assume that $\omega\neq\Omega_\nu$ for $\nu=1,\ldots,N$. This implies that we can drop $\hat{\xi}_\nu^{(in)}(x,\omega)$ and $\hat{\xi}_\nu^{(in)\dagger}(x,-\omega)$ from the above equation and set $\epsilon=0$. We return to the resonant case later.

Next, we then apply the differential operator $c^2\partial_x^2+\omega^2$ to both sides. This annihilates the in-field term and converts the integral equation into a homogeneous differential equation. The result is

$$\partial_x\left(\frac{c^2}{n^2(x,\omega)}\partial_x\hat{\Lambda}(x,\omega)\right)+\omega^2\hat{\Lambda}(x,\omega)=0. \tag{3.12}$$

Here, $n(x,\omega)$, the space- and frequency-dependent index of refraction of the medium, is given by

$$n(x,\omega)=\left(1-\sum_\nu\frac{g_\nu(x)}{\Omega_\nu^2-\omega^2}\right)^{-1/2}. \tag{3.13}$$

In this form, the equations have a rather classical appearance, and the matter operators no longer appear in the formulation, which gives rise to a substantial simplification.

IV. DIELECTRIC LAYER

We now want to specialize our equations to the case of a dielectric layer with a uniform density of oscillators. This corresponds to the important case of a beam-splitter or mirror, although we make no restrictions as to the size of the layer. The medium extends from $x=-L$ to $x=L$. Inside the medium, $n(x,\omega)$ has a value of $n_0(\omega)$, and outside the medium it has a value of 1. The solutions to Eq. (3.12) should be continuous and $n^{-2}(x,\omega)$ times their derivative should be continuous. These correspond to a continuous magnetic and electric field, respectively.

A. Classical case

In order to find the solution of the operator equation, Eq. (3.12), we first find solutions to the corresponding c-number equation, which we shall denote as $u(x,\omega)$. We begin by dividing the line into three regions, region I for $x<-L$, region II for $-L\leqslant x\leqslant L$, and region III for $x>L$. In regions I and III, $u(x,\omega)$ satisfies

$$[c^2\partial_x^2+\omega^2]u(x,\omega)=0, \tag{4.1}$$

and in region II

$$[c^2\partial_x^2+\omega^2 n_0^2(\omega)]u(x,\omega)=0, \tag{4.2}$$

where n_0 is the value of $n(x,\omega)$ in region II. A solution incident from the left, $u_l(x,\omega)$, which satisfies the equation and has the proper continuity properties, is given by

$$u_l(x,\omega)=\begin{cases} e^{ik(\omega)x}+R(\omega)e^{-ik(\omega)x} & \text{in region I}\\ B_r^{(l)}(\omega)e^{i\kappa(\omega)x}+B_l^{(l)}(\omega)e^{-i\kappa(\omega)x} & \text{in region II}\\ T(\omega)e^{ik(\omega)x} & \text{in region III,}\end{cases} \tag{4.3}$$

where $k(\omega)=\omega/c$, and $\kappa(\omega)=n_0k(\omega)$. It is to be remembered that waves proportional to e^{ikx} or $e^{i\kappa x}$ are propagating to the right, and those proportional to e^{-ikx} or $e^{-i\kappa x}$ are propagating to the left.

Suppressing the frequency arguments for clarity, the coefficients in the above equation are given by

$$R=-\frac{i(n_0^2-1)\sin(2\kappa L)}{D}e^{-2ikL},\quad T=\frac{2n_0}{D}e^{-2ikL}, \tag{4.4}$$

$$B_r^{(l)}=\frac{n_0(n_0+1)}{D}e^{-i(\kappa+k)L},\quad B_l^{(l)}=-\frac{n_0(n_0-1)}{D}e^{i(\kappa-k)L},$$

where $D=2n_0\cos(2\kappa L)-i(n_0^2+1)\sin(2\kappa L)$. Note that R and T are, respectively, the reflection and transmission coefficients for the medium, and that $|R|^2+|T|^2=1$. For a solution incident from the right we have

$$u_r(x,\omega)=\begin{cases} T(\omega)e^{-ik(\omega)x} & \text{in region I}\\ B_r^{(r)}(\omega)e^{i\kappa(\omega)x}+B_l^{(r)}(\omega)e^{-i\kappa(\omega)x} & \text{in region II}\\ e^{-ik(\omega)x}+R(\omega)e^{ik(\omega)x} & \text{in region III,}\end{cases} \tag{4.5}$$

where k , κ, R, and T are as before, while $B_r^{(r)}=B_l^{(l)}$ and $B_l^{(r)}=B_r^{(l)}$.

B. Asymptotic fields

Both u_r and u_l are solutions of the differential equation, Eqs. (4.1) and (4.2), and this implies that they are also solutions to the corresponding integral equation

$$\Lambda(x,\omega)=\Lambda_{in}(x,\omega)-\frac{ic}{2\omega}\int_{-L}^{L}dx' e^{i\omega|x-x'|/c}\partial_{x'} \times\left[\left(1-\frac{1}{n^2(x,\omega)}\right)\partial_{x'}\Lambda(x',\omega)\right], \tag{4.6}$$

for particular choices of the field $\Lambda_{in}(x,\omega)$. We can find $\Lambda_{in}(x,\omega)$ for both solutions simply by substituting them into Eq. (4.6). We must be careful, however, because the expression inside the square brackets is not continuous at $x=\pm L$, and it is being differentiated, so that the discontinuities will lead to finite contributions after being integrated. One way to find these contributions is to consider a refractive index that is continuous, but that goes to the desired one as a limit.

For example, let us suppose that $n(x,\omega)$ is 1 for $x<-L-\delta$ and $x>L+\delta$, is equal to n_0 for $-L\leqslant x\leqslant L$, goes continuously from 1 to n_0 as x goes from $-L-\delta$ to $-L$, and goes continuously from n_0 to 1 as x goes from L to $L+\delta$. We can then take the limit $\delta\to 0$. Let us examine what happens in the interval between $-L-\delta$ and $-L$; the interval between L and $L+\delta$ is similar. As $\delta\to 0$ we have that

$$\begin{aligned}&\int_{-L-\delta}^{-L}dx' e^{i\omega|x-x'|/c}\partial_{x'}\left[\left(1-\frac{1}{n^2(x,\omega)}\right)\partial_{x'}\Lambda(x',\omega)\right]\\ &\quad\to e^{i\omega|x+L|/c}\int_{-L-\delta}^{-L}dx'\,\partial_{x'}\left[\left(1-\frac{1}{n^2(x,\omega)}\right)\partial_{x'}\Lambda(x',\omega)\right]\\ &\quad=e^{i\omega|x+L|/c}\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_x\Lambda(x,\omega)\big|_{x=-L^+},\end{aligned} \tag{4.7}$$

where $x=-L^+$ denotes the limit as $x\to -L$ from the positive direction ($x=L^-$ is defined in an analogous fashion). The other limit of the integral contributes zero, due to the refractive index term approaching unity. Explicitly putting in the terms resulting from the boundaries of the medium gives

$$\begin{aligned}\Lambda(x,\omega)=\Lambda_{in}(x,\omega)&-\frac{ic}{2\omega}\int_{-L^+}^{L^-}dx' e^{i\omega|x-x'|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_{x'}^2\Lambda(x',\omega)-\frac{ic}{2\omega}\Bigg[e^{i\omega|x+L|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_x\Lambda(x,\omega)\Big|_{x=-L^+}-e^{i\omega|x-L|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_x\Lambda(x,\omega)\Big|_{x=-L^-}\Bigg].\end{aligned} \tag{4.8}$$

If we now substitute $u_l(x,\omega)$ into this equation instead of $\Lambda(x,\omega)$, we find that

$$\Lambda_{in}(x,\omega)=e^{i\omega x/c}, \tag{4.9}$$

and if we substitute $u_r(x,\omega)$, we find

$$\Lambda_{in}(x,\omega)=e^{-i\omega x/c}. \tag{4.10}$$

C. Quantum case

In the quantum case we have the usual expansion of a free field in terms of annihilation and creation operators. This leads, in the present case, to

$$\hat{\Lambda}_{in}(x,t)=\int dk\sqrt{\frac{\hbar c\epsilon_0}{4\pi A|k|}}[\hat{a}_k^{(in)}e^{i(kx-|k|ct)} +(\hat{a}_k^{(in)})^\dagger e^{-i(kx-|k|ct)}], \tag{4.11}$$

which implies that for $\omega>0$

$$\hat{\Lambda}_{in}(x,\omega)=\sqrt{\frac{\hbar\epsilon_0}{2cAk(\omega)}}[\hat{a}_{k(\omega)}^{(in)}e^{i\omega x/c}+\hat{a}_{-k(\omega)}^{(in)}e^{-i\omega x/c}]. \tag{4.12}$$

The results of the previous paragraph allow us to see that if $\hat{\Lambda}(x,\omega)$ is given by

$$\hat{\Lambda}(x,\omega)=\sqrt{\frac{\hbar\epsilon_0}{2cAk(\omega)}}[\hat{a}_{k(\omega)}^{(in)}u_l(x,\omega)+\hat{a}_{-k(\omega)}^{(in)}u_r(x,\omega)], \tag{4.13}$$

then it is a solution of Eq. (4.8) with $\hat{\Lambda}_{in}$ given by Eq. (4.12). This gives us the interpolating field in terms of the in field.

Our remaining task is to use the expression for the interpolating field to find the out field in terms of the in field. This can be done by substituting the expression for $\hat{\Lambda}(x,\omega)$ given in the previous paragraph into the equation that relates the interpolating field to the out field

$$\begin{aligned}\hat{\Lambda}(x,\omega)=\hat{\Lambda}_{out}(x,\omega)&+\frac{ic}{2\omega}\int_{-L^+}^{L^-}dx' e^{-i\omega|x-x'|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_{x'}^2\hat{\Lambda}(x',\omega)+\frac{ic}{2\omega}\Bigg[e^{-i\omega|x+L|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_x\hat{\Lambda}(x,\omega)\Big|_{x=-L^+}-e^{-i\omega|x-L|/c}\\ &\times\left(1-\frac{1}{n_0^2(\omega)}\right)\partial_x\hat{\Lambda}(x,\omega)\Big|_{x=-L^-}\Bigg],\end{aligned} \tag{4.14}$$

which follows from Eqs. (3.6). The derivation is almost identical to that of Eq. (4.8), so we do not give it explicitly. Making this substitution we find that, for $\omega>0$

$$\begin{aligned}\hat{\Lambda}_{out}(x,\omega)=\sqrt{\frac{\hbar\epsilon_0}{2cAk(\omega)}}[&\{T(\omega)e^{ik(\omega)x}\\ &+R(\omega)e^{-ik(\omega)x}\}\hat{a}_{k(\omega)}^{(out)}+\{R(\omega)e^{ik(\omega)x}\\ &+T(\omega)e^{-ik(\omega)x}\}\hat{a}_{-k(\omega)}^{(out)}].\end{aligned} \tag{4.15}$$

The out field can also be expressed in terms of out creation and annihilation operators,

$$\hat{\Lambda}_{out}(x,t)=\int dk\sqrt{\frac{\hbar c\epsilon_0}{4\pi A|k|}}[\hat{a}_k^{(out)}e^{i(kx-|k|ct)} + (\hat{a}_k^{(out)})^\dagger e^{-i(kx-|k|ct)}]. \tag{4.16}$$

Taking the Fourier transform of this equation with respect to time, for $\omega>0$, gives

$$\hat{\Lambda}_{out}(x,\omega)=\sqrt{\frac{\hbar\epsilon_0}{2cAk(\omega)}}[\hat{a}_{k(\omega)}^{(out)}e^{ik(\omega)x}+\hat{a}_{-k(\omega)}^{(out)}e^{-ik(\omega)x})]. \tag{4.17}$$

Comparing Eqs. (4.15) and (4.17) we see that for $\omega>0$

$$\hat{a}_{k(\omega)}^{(out)}=T(\omega)\hat{a}_{k(\omega)}^{(in)}+R(\omega)\hat{a}_{-k(\omega)}^{(in)}, \tag{4.18}$$

$$\hat{a}_{-k(\omega)}^{(out)}=R(\omega)\hat{a}_{k(\omega)}^{(in)}+T(\omega)\hat{a}_{-k(\omega)}^{(in)}. \tag{4.19}$$

These equations are the solution to the scattering problem. We note that the transmission and reflection coefficients satisfy the usual relation of $|T(\omega)|^2+|R(\omega)|^2=1$. This holds even in the band-gap regions, where transmission occurs via an evanescent field. Thus, the scattering problem is explicitly unitary, as we would expect. It is important to notice that unitarity holds even inside the band gaps of the problem, indicating that the absorption bands simply modify the reflection and transmission coefficients, without removing photons. Another way to think of this, is that even when a photon is removed through virtual excitation of an atomic resonance, the photon will eventually be reradiated in either the forward of backward directions.

D. Resonances

Let us now consider what happens when $\omega=\pm\Omega_\nu$. This case was excluded from our earlier treatment, since it must involve some matter-operator contribution, which we have neglected so far. Of course, as the resonances are discrete, the frequencies involved are essentially a set of measure zero, lying on the upper edge of each band gap.

In this case, terms proportional to either $\hat{\xi}_\nu^{(in)}(x,\omega)$ or $\hat{\xi}_\nu^{(in)\dagger}(x,-\omega)$ will be present in Eq. (3.11). This, in turn, means that the solution for the interpolating field given in Eq. (4.13) must be modified. In particular, a term proportional to the matter fields must be added. This is not surprising; it is usually accepted that in a dispersive medium there must be absorption, and this in turn generally requires coupling to a reservoir field. However, it would be rather surprising to find quantum noise only occurring at discrete frequencies corresponding to the band edge. We have already established, in particular, that absorption in the band at frequencies different from the resonances simply changes the transmission and reflection coefficients, without adding any noise source. We will now show that even with the matter terms included, our earlier conclusions still hold; there are no extra noise sources for the out fields.

In order to see this, we first note that

$$\hat{\xi}_\nu^{(in)}(x,t)=e^{-i\Omega_\nu t}\hat{\xi}_\nu^{(in)}(x), \tag{4.20}$$

where $\hat{\xi}_\nu^{(in)}(x)=e^{-i\Omega_\nu t}\hat{\xi}_\nu^{(in)}(x,0)$. This implies that

$$\hat{\xi}_\nu^{(in)}(x,\omega)=\sqrt{2\pi}\delta(\omega-\Omega_\nu)\hat{\xi}_\nu^{(in)}(x),$$
$$\hat{\xi}_\nu^{(in)\dagger}(x,-\omega)=\sqrt{2\pi}\delta(\omega+\Omega_\nu)\hat{\xi}_\nu^{(in)\dagger}(x). \tag{4.21}$$

Application of the differential operator $c^2\partial_x^2+\omega^2$ to Eq. (3.11), this time keeping the matter terms, gives

$$\partial_x\left(\frac{c^2}{n^2(x,\omega)}\partial_x\hat{\Lambda}(x,\omega)\right)+\omega^2\hat{\Lambda}(x,\omega)=\partial_x\hat{F}_{in}(x,\omega), \tag{4.22}$$

where we now include an inhomogeneous term defined as:

$$\hat{F}_{in}(x,\omega)=c^2\sum_\nu\sqrt{\frac{\hbar\pi\epsilon_0 g_\nu(x)}{\Omega_\nu}}[\delta(\omega-\Omega_\nu)\hat{\xi}_\nu^{(in)}(x) + \delta(\omega+\Omega_\nu)\hat{\xi}_\nu^{(in)\dagger}(x)]. \tag{4.23}$$

This equation can be solved by means of a Green's function that satisfies

$$\partial_x\left(\frac{c^2}{n^2(x,\omega)}\partial_x G(x,x')\right)+\omega^2 G(x,x')=\delta(x-x'), \tag{4.24}$$

together with the proper boundary conditions. For the boundary conditions, we shall choose $G(x,x')$ to have only outgoing waves at $x=\pm\infty$. Any fields produced by these matter terms are generated in a finite region and propagate outward, so that these boundary conditions are the appropriate ones. The Green's function can be found by standard techniques, and is given by

$$G(x,x')=\begin{cases}\dfrac{1}{2i\omega cT(\omega)}u_\ell(x,\omega)u_r(x',\omega) & [x>x']\\ \dfrac{1}{2i\omega cT(\omega)}u_r(x,\omega)u_\ell(x',\omega) & [x<x'].\end{cases} \tag{4.25}$$

The solution to Eq. (4.22) with only outgoing waves at $x=\pm\infty$, which we shall call $\hat{\Lambda}_s(x,\omega)$ is then

$$\hat{\Lambda}_s(x,\omega)=\int_{-L}^{L}dx'G(x,x')\partial_{x'}\hat{F}_{in}(x',\omega). \tag{4.26}$$

Substitution of $\hat{\Lambda}_s(x,\omega)$ into Eq. (3.11)—the integral equation for $\hat{\Lambda}(x,\omega)$—shows that it is, as expected, a solution with $\hat{\Lambda}_{in}(x,\omega)=0$. A complete solution for general $\hat{\Lambda}_{in}(x,\omega)$ can then be obtained by adding to $\hat{\Lambda}_s$ a solution of the homogeneous equation with the proper $\hat{\Lambda}_{in}$, as discussed in the previous section.

As has already been noted, $\hat{F}_{in}(x,\omega)$ is only nonzero if $\omega=\pm\Omega_\nu$, for some index ν. At these values, which correspond to the upper boundary of each band edge, the index of refraction vanishes inside the medium, and

$$T(\omega)=\frac{c}{c-i\omega L}e^{-2i\omega L/c},$$
$$R(\omega)=\frac{i\omega L}{c-i\omega L}e^{-2i\omega L/c}. \tag{4.27}$$

For $-L<x<L$ we have that

$$u_{\swarrow}(x,\omega)=u_r(x,\omega)=\frac{c}{c-i\omega L}e^{-i\omega L/c}. \tag{4.28}$$

Therefore, for $\omega=\pm\Omega_\nu$, it follows that the Green's function is constant, and $\hat{\Lambda}_s(x,\omega)$ is proportional to

$$\int_{-L}^{L}dx'\,\partial_{x'}\hat{F}_{in}(x',\omega)=\hat{F}_{in}(L,\omega)-\hat{F}_{in}(-L,\omega). \tag{4.29}$$

Here, however, we must be careful since the source function $\hat{F}_{in}(x,\omega)$ is proportional to $\sqrt{g_\nu(x)}$, which is discontinuous at $x=\pm L$, so that the right-hand side of the above equation is not well defined.

In order to resolve this difficulty, we must use the same technique as before, and consider a continuously changing refractive index over a small boundary region. That is, we suppose that $n(x,\omega)$ is 1 for $x<-L-\delta$ and $x>L+\delta$, is equal to 0 for $-L\leqslant x\leqslant L$, goes continuously from 1 to 0 as x goes from $-L-\delta$ to $-L$, and goes continuously from 0 to 1 as x goes from L to $L+\delta$. A similar behavior is assumed for $\sqrt{g_\nu(x)}$. We can then take the limit $\delta\to 0$. Let us examine what happens in the interval between $-L-\delta$ and $-L$; the interval between L and $L+\delta$ is similar.

For $x>L+\delta$, the integral we wish to consider is then

$$\begin{aligned}\int_{-L-\delta}^{L+\delta}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega)&\\ =\int_{-L-\delta}^{-L}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega)+u_r(L,\omega)[\hat{F}_{in}(L,\omega)&\\ -\hat{F}_{in}(-L,\omega)]+\int_{L}^{L+\delta}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega).&\end{aligned} \tag{4.30}$$

For x in other intervals, the situation is similar. Note that $u_r(x',\omega)$ is now a solution of the homogeneous version of Eq. (4.24), including the modified index of refraction. From this equation it is relatively straightforward to show that, for δ small,

$$\int_{L}^{L+\delta}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega)\approx u_r(L,\omega)$$
$$\times[\hat{F}_{in}(L+\delta,\omega)-\hat{F}_{in}(L,\omega)], \tag{4.31}$$

and this becomes an equality as $\delta\to 0$. A similar relationship holds for the integral from $-L-\delta$ to $-L$:

$$\int_{-L-\delta}^{-L}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega)\approx u_r(-L,\omega)$$
$$\times[\hat{F}_{in}(-L-\delta,\omega)-\hat{F}_{in}(-L,\omega)]. \tag{4.32}$$

Adding these contributions up, and noting that if $n(x,\omega)=0$ for $-L\leqslant x\leqslant L$, then $u_r(-L,\omega)=u_r(L,\omega)$, we find that

$$\lim_{\delta\to 0}\int_{-L-\delta}^{L+\delta}dx'\,u_r(x',\omega)\partial_{x'}\hat{F}_{in}(x',\omega)=0. \tag{4.33}$$

This implies that the matter operators *do not contribute* to the interpolating field solution, even at the resonance frequencies that we did not consider in detail previously. Thus, the previous relation between the in and out operators still holds at the resonances where $\omega=\pm\Omega_\nu$. At first sight, this seems difficult to understand, since in general one would need to include noise operators to conserve commutation relations, and hence unitarity. However, this is consistent because, as can be seen from Eq. (4.28), we have $|T(\omega)|^2+|R(\omega)|^2=1$, even when $\omega=\pm\Omega_\nu$ for $\nu=1,\ldots,N$. In summary, we reach the somewhat surprising conclusion that no additional noise operators are needed in the asymptotic properties of the present model, even at resonance.

V. PHOTODETECTION EXAMPLE

Given the state of the in field, these equations allow us to calculate the properties of the out field, and hence calculate observable scattering properties. In order to see how this works let us consider an example. We shall find the probability that a photo detector located at x, where $x>0$ and is far from the medium, will fire at time t. At the long times required for propagation to this location, the fields will asymptotically become out fields. The photodetection probability is therefore proportional to

$$\langle in|\hat{D}^{(-)}_{out}(x,t)\hat{D}^{(+)}_{out}(x,t)|in\rangle=\langle in|[\partial_x\hat{\Lambda}^{(-)}_{out}(x,t)]$$
$$\times[\partial_x\hat{\Lambda}^{(+)}_{out}(x,t)]|in\rangle, \tag{5.1}$$

where $|in\rangle$ is the in state,

$$\hat{\Lambda}^{(+)}_{out}(x,t)=\int dk\,\sqrt{\frac{\hbar c\epsilon_0}{4\pi A|k|}}\hat{a}^{(out)}_k e^{i(kx-|k|ct)}, \tag{5.2}$$

and $\hat{\Lambda}^{(-)}_{out}(x,t)=[\hat{\Lambda}^{(+)}_{out}(x,t)]^\dagger$. Now let $f(k)$ be a function that is zero if $k<0$. The Fourier transform of $f(k)$ is closely related to the shape of the pulse that is being sent into the medium. Define

$$\hat{a}^\dagger_{in}[f]=\int dk f(k)(\hat{a}^{(in)}_k)^\dagger, \tag{5.3}$$

and let

$$|in\rangle=\exp(\hat{a}_{in}[f]-\hat{a}^\dagger_{in}[f])|0\rangle_{in}. \tag{5.4}$$

This is a coherent state composed of wave packets with the intensity of the field and the shape of the wave packet determined by $f(k)$. For this state the correlation function in Eq. (5.1) is given by

$$\langle in|\hat{D}^{(-)}_{out}(x,t)\hat{D}^{(+)}_{out}(x,t)|in\rangle = \frac{\hbar c\epsilon_0}{4\pi A}\left|\int dk f(k)T(ck)e^{i(kx-|k|ct)}\right|^2, \quad (5.5)$$

where we have used Eq. (4.19) to relate the in and out operators, and we have explicitly indicated the k dependence of the transmission coefficient.

As expected, this equation demonstrates explicitly that photodetection rates are suppressed for frequency components that correspond to the dielectric absorption bands, where $T(\omega)\rightarrow 0$. At these frequencies, the predominant effect will be a strong reflection, with no photodetection occurring at the detector location on the other side of the mirror.

VI. CONCLUSION

We have presented an analysis of a quantized electromagnetic wave scattering off a linear, dispersive medium of finite extent. The medium consists of harmonic oscillators whose energy-level spacings can be chosen to match those of an actual medium in the spirit of the classical Sellmeir expansion. What emerges is a relation between the *in* and *out* fields that is most simply stated in terms of their annihilation operators. The overall results are exact, and simply expressed in terms of linear transmission and reflection coefficients. The medium has both transmission and absorption bands. Since the model is a full quantum-field version of the widely used Lorenz model that leads to the Sellmeir expansion, it has a wide area of applicability to realistic dielectric media with a variety of dispersion relations.

The final results are very similar to the classical expressions that relate the amplitudes of the incoming and outgoing waves. The transmission and reflection coefficients that one finds from the quantum and classical analyses are identical, as they should be, given that the model is a linear one, and must reduce to the classical theory in the correspondence limit. Expressions such as those appearing in Eq. (4.19) are often used in quantum optics, and we believe it is useful to see how they emerge from the underlying scattering theory.

An important feature of the theory treated here is that it has absorption bands, without any corresponding noise terms in the field equation. This is due to the dielectric model used here, in which the dielectric constant is always either purely real or purely imaginary. In this type of model, all photons absorbed are reemitted. Thus, absorption simply results in a strong reflection, with purely evanescent fields inside the dielectric. In a related phenomenological treatment [9], one finds similar behavior: if the dielectric constant is always either purely real or purely imaginary, it is possible to have dispersion without any additional noise terms.

The reason for this is that the scattering terms alone are sufficient to ensure that the input-output relations remain unitary, with no change in the commutators. Thus, in the present model, there is no need for any additional source terms. The theory therefore provides a justification for the use of simple input-output relations to describe idealized dielectric or metallic mirrors, even when the dielectric response is dispersive. However, for realistic media it is generally the case that absorption can also occur even in the transmission bands. Treating this would require the use of more sophisticated models, including a complex refractive index.

Finally, one can ask if taking into account modes that have momenta with components transverse to the propagation direction of the input field would lead to noise operators. This is not necessarily the case. If scattering into these modes takes place, then the unitary transformation between the *in* and the *out* operators would transform an annihilation operator for a mode propagating in the x direction into a linear combination of annihilation operators for modes, some of which would have wave vectors with y and z components. Because, in the scattering approach employed here, all of these modes are retained in the theory and not traced out as is often done in quantum optics, the scattering into them does not lead to noise operators. We acknowledge that the three-dimensional case needs further investigation, but we believe that qualitatively it is similar to the one-dimensional case.

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