

SUPERSYMMETRY AND  
EXACT SOLUTIONS FOR  
QUANTUM PHASE TRANSITIONS  
IN RANDOM SPIN CHAINS

Ross H. McKenzie

University of Queensland

Judy E. Bunder

University of New South Wales

Phys. Rev. Lett. **77**, 4804 (1996).

Phys. Rev. B **60**, 344 (1999).

cond-mat/0006291.

Supported by Australian Research Council

# OUTLINE

- Quantum phase transitions in disordered systems exhibit rich new physics.
- A tractable model to study is the random transverse field Ising chain.
- An exact analytic treatment of critical behavior in can be given by mapping it onto a fermion model.
- Supersymmetry and group representation theory plays a key role in solving the associated random Dirac equation. The transfer matrix Hamiltonian can be written in terms of generators of  $U(2|1, 1)$  or  $U(1, 1)$ .
- The results obtained agree with those recently obtained using a real space renormalisation group technique.

# PHASE TRANSITIONS AND CRITICAL PHENOMENA IN DISORDER-FREE SYSTEMS

- Phase transitions in classical statistical mechanics in two dimensions ( $d = 2$ ) are equivalent to quantum phase transitions in  $d = 1$ .
- Exact solutions based on transfer matrix methods and the Bethe ansatz allow calculation of critical exponents for integrable models.
- Conformal field theory allows a complete classification of all  $d = 1$  quantum universality classes.
- Scaling, the renormalisation group, and the  $\epsilon = 4 - d$  expansion elucidated universality and non-integrable models.

All of the above methods make essential use of translational invariance.

What about models with random parameters?

# A SIMPLE MODEL TRANSVERSE ISING SPIN CHAIN

$$H_0 = - \sum_{i=1}^L (J\sigma_i^x \sigma_{i+1}^x + h\sigma_i^z)$$

where  $\sigma_i^x$  and  $\sigma_i^z$  are Pauli spin operators. Equivalent to the transfer matrix of the two-dimensional Ising model.

At  $T = 0$ , there is a transition from a ferromagnet to a paramagnet at  $h = J$ .

This phase transition is driven by quantum fluctuations.

Pfeuty (1970) found an exact solution, calculating all the correlation functions.

However, in an external longitudinal field

$$H = H_0 + B \sum_{i=1}^L \sigma_i^x$$

the model *cannot* be solved.

# THE RANDOM TRANSVERSE FIELD ISING CHAIN

$$H = - \sum_{i=1}^L (J_i \sigma_i^x \sigma_{i+1}^x + h_i \sigma_i^z)$$

- $J_i$  and  $h_i$  are independent random variables.
- Equivalent to the transfer matrix of the two-dimensional McCoy-Wu model.
- Transition from a ferromagnetic to a paramagnetic phase at  $\langle \ln h_i \rangle = \langle \ln J_i \rangle$ .
- D.S. Fisher (1995) used a real space renormalisation group decimation technique, which he claims is *exact* near the critical point. He calculated the phase diagram, critical exponents, and scaling functions for the magnetisation and correlation functions, *including* in an external longitudinal field.

- This extraordinary achievement is possible because the low-energy physics is controlled by a strong disorder fixed point.
- Fisher's technique has now been applied to random dimerised and anisotropic  $S = \frac{1}{2}$  Heisenberg AFM,  $S = 1$  Heisenberg AFM, quantum Potts chains, and chains with random spin size. The Potts models have the same critical exponents as the transverse Ising model.

exponent	definition	pure	disorder
$\alpha$	$\epsilon \sim \Delta^{2-\alpha}$	$0^+$	n.a.
$\beta$	$\langle \sigma_i^x \rangle \sim \Delta^\beta$	$1/8$	$2 - \phi$
$\nu$	$\xi_{av} \sim \Delta^{-\nu}$	$1$	$2$
$\eta$	$\langle \sigma_r^x \sigma_0^x \rangle \sim r^{1-\eta}$ ( $\Delta = 0$ )	$5/4$	$\phi - 1$

$\phi = (1 + \sqrt{5})/2$  is the golden mean.

- Fisher's results have been confirmed by numerical calculations (Young and Rieger).

## HIGHER DIMENSIONS

Pich *et al.* [PRL **81**, 5916 (1998)] studied the  $d = 2$  model using Monte Carlo.

Motrunich *et al.* [PRB **61**, 1160 (2000)] used real-space renormalisation to map the  $d = 2, 3$  model with strong disorder onto a novel percolation/aggregation process.

Both found that similar physics is exhibited to  $d = 1$ .

$z$  is non-universal and diverges at the critical point.

There are large differences between average and typical correlation functions.

# AN EXACT APPROACH FOR $d = 1$

$$H = - \sum_{i=1}^L \left( J_i \sigma_i^x \sigma_{i+1}^x + h_i \sigma_i^z \right)$$

A Jordan-Wigner transformation maps spins onto spinless fermions

$$c_n = \sigma_n^- \exp\left[i\pi \sum_{m=1}^{n-1} \sigma_m^+ \sigma_m^-\right]$$

where  $\sigma_m^\pm \equiv \frac{1}{2}(\sigma_m^x \pm i\sigma_m^y)$

$$H = - \sum_{i=1}^L \left( J_i (c_i^\dagger c_{i+1} + c_{i+1}^\dagger c_i) \right. \\ \left. + J_i (c_i^\dagger c_{i+1}^\dagger - c_i c_{i+1}) + h_i (2c_i^\dagger c_i - 1) \right)$$

This is quadratic in the fermion operators and can be diagonalised by a Bogoliubov transformation. The eigenstates will be non-interacting fermions.

The continuum limit (relative to the Fermi wave vector  $k_F$ ) is Dirac-type equation

$$H = \int dx \Psi^\dagger \left[ i v_F \sigma_3 \frac{\partial}{\partial x} + V(x) \sigma_1 \right] \Psi$$

$\Psi(x = n)^\dagger = (c_n^\dagger, c_n)$  and  $v_F = J$  is the Fermi velocity.

$V(x)$  is a random potential with

$$\langle V(x) \rangle = \Delta \quad \langle V(x)V(x') \rangle = \gamma \delta(x - x')$$

$\Delta = \langle h_i \rangle - J$ , measures deviation from criticality. For no disorder  $|\Delta|$  is the energy gap.

$$\gamma = \langle (h_i - \langle h_i \rangle)^2 \rangle$$

The energy  $D \equiv \gamma/v_F$  is a measure of the disorder.

$\delta \equiv \frac{|\Delta|}{D}$  is a dimensionless measure of the deviation from criticality.

The continuum limit is valid provided  $J \gg |\Delta|, \sqrt{\gamma}$ .

EXACT DENSITY OF STATES  
FROM SUPERSYMMETRY METHOD  
Example: Schrödinger equation (Bohr and  
Efetov, 1982)

$$H\psi = \left[ -\frac{\partial^2}{\partial x^2} + V(x) \right] \psi(x) = E\psi(x)$$

where  $V(x)$  is a random (white-noise) potential with

$$\langle V(x) \rangle = 0 \quad \langle V(x)V(y) \rangle = D\delta(x - y).$$

The disorder averaged density of states  $\rho(E)$  is related to the one-particle Greens function

$$\rho(E) = \text{Im} \langle G(E, x) \rangle = \text{Im} \langle (x | \frac{1}{H - E} | x) \rangle$$

Set  $a = H - E + i\epsilon$ . If  $\chi$  is a fermion (anti-commuting) field

$$\int d\chi d\chi^* \chi_i \chi_k \exp(-\chi^* a \chi) = \det(a) (a^{-1})_{ik}$$

$$\int d\chi d\chi^* \exp(-\chi^* a \chi) = \det(a)$$

If  $S$  is a boson field

$$\int dS dS^* \exp(-S^* a S) = \det(a)^{-1}$$

Let  $\Phi^\dagger \equiv (\chi, S)$  be a supersymmetric field.

Then

$$\int [D\Phi] \exp(-\Phi^* a \Phi) = 1$$

$$G(E, x) = i \int [D\Phi] \chi(x) \chi(x) \exp\left(-i \int dx L\right)$$

with  $[D\Phi] = dS dS^* d\chi d\chi^*$  and the Lagrangian

$$L = \Phi^\dagger [E - i\epsilon - V(x)] \Phi - \frac{d\Phi^\dagger}{dx} \frac{d\Phi}{dx}$$

The disorder average is

$$\langle G(E, x) \rangle = \int dV(x) G(E, x) \exp\left(\frac{-1}{D} \int dx V(x)^2\right)$$

The Lagrangian then becomes

$$L = \Phi^\dagger [E - i\epsilon] \Phi - \frac{d\Phi^\dagger}{dx} \frac{d\Phi}{dx} - iD (\Phi^\dagger \Phi)^2$$

This one-dimensional supersymmetric field theory can be solved exactly by a transfer-matrix method which maps it onto a Schrödinger-type equation (in superspace) which can be solved analytically. (cf. Feymann's path integral  $\equiv$  quantum mechanics).

# SUPERSYMMETRY AND THE RANDOM DIRAC EQUATION

Balents and Fisher, PRB **56**, 12970 (1997).

Bocquet, Nucl. Phys. B **546**, 621 (1999).

The transfer Hamiltonian is written in terms of generators of the superalgebra  $u(2|1, 1)$

$$H = EZ_z + \Delta Z_x + \gamma Z_x^2$$

and acts on an infinite dimensional representation of  $U(1, 1)$ .

There are left and right ground states.

$$H|0 \rangle_R = 0 \quad \langle 0|_L H = 0$$

The disorder-averaged Greens function is

$$\langle G(E) \rangle = \langle 0|_L S_z |0 \rangle_R$$

where  $S_z$  is the fermionic part of  $Z_z$ .

# DYNAMICAL CRITICAL EXPONENT $z$

$$\text{Time} \sim \frac{1}{\text{Energy}} \sim (\text{Length})^z$$

For the pure transverse Ising chain,  $z = 1$ .  
For low energies, the density of states

$$\langle \rho(E) \rangle \sim \frac{1}{\text{Length}} \frac{1}{\text{Energy}} \sim E^{1/z-1}$$

Therefore,

$$z = \frac{1}{2\delta}$$

Note:

- (i)  $z$  is not universal
- (ii)  $z$  diverges at the critical point.

The results obtained for  $z$  and the low-temperature thermodynamic properties agree with results from the real space renormalisation decimation technique, supporting Fisher's claim that it is exact.

# THE CHALLENGES

- Is a complete classification of random quantum universality classes possible? Group theory will help.
- Evaluate the probability distribution for the density of states near a quantum critical point. (We have done this for a quantum wire; cond-mat/0006291).
- Exact evaluation of the magnetisation and correlation functions. Are the exponents related to the golden mean. This will be difficult because these involve non-local fermion operators.